WISCONSIN DEPARTMENT OF REGULATION & LICENSING



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STATE OF WISCONSIN BEFORE THE PHARMACY EXAMINING BOARD

IN THE MATTER OF DISCIPLINARY PROCEEDINGS AGAINST

FINAL DECISION AND ORDER

GERALD RIFFKIND, R.PH. RESPONDENT. 95 PHM 2

The parties to this action for the purposes of §227.53, Wis. Stats., are:

Gerald Riffkind, R.Ph. 248 N. Oakridge Court Wautoma, WI 54982

Wisconsin Pharmacy Examining Board P.O Box 8935 Madison, WI 53708-8935

Department of Regulation and Licensing Division of Enforcement P.O. Box 8935 Madison, WI 53708-8935

The parties in this matter agree to the terms and conditions of the attached Stipulation as the final decision of this matter, subject to the approval of the Board. The Board has reviewed this Stipulation and considers it acceptable.

Accordingly, the Board in this matter adopts the attached Stipulation and makes the following:

FINDINGS OF FACT

- 1. Respondent Gerald Riffkind (dob 11/7/35) is and was at all times relevant to the facts set forth herein a registered pharmacist licensed in the State of Wisconsin pursuant to license #12211, originally granted on 10/13/94. Respondent took and passed the Wisconsin Pharmacy Law examination on October 10, 1994 when he obtain licensure in Wisconsin by reciprocity, having been licensed in Illinois.
- 2. Respondent was, on March 28, 1995, a Board investigator observed five patients to receive prescription medications from auxiliary personnel and without any consultation from a licensed pharmacist, while respondent was on duty. After the Board's investigator identified herself and while she was obtaining information from respondent's managing pharmacist about other matters, neither respondent nor his managing pharmacist provided consultations for, or transferred prescription medications to, other patients who were receiving prescription medications directly from unlicensed personnel.
- 3. On Sunday, October 30, 1994, respondent was called into his pharmacy, located inside a large discount department store, to dispense dexedrine 5mg. spansules for a pediatric patient who had been mistakenly dispensed regular dexedrine by another pharmacist. The pharmacy is normally closed on Sundays, and respondent came in specially to deal with this one prescription only. Respondent dispensed spansules and packaged the prescription for the patient, and waited for the patient's mother for a substantial time. Respondent then telephoned the patient's home and was informed that the patient's mother would likely not be able to pick up the prescription for several hours. Respondent then left the prescription with an assistant store-manager in a locked and secure place outside the pharmacy area.

4. The patient's mother, who had telephoned with the error information, came to the store several hours later, and was given the medication by the store manager, with the knowledge and approval of respondent. Respondent states that he was telephoned by the store manager, and that he offered to come into the store to transfer the medication; the store manager stated that the patient's mother did not wish to wait. Respondent did not speak to the patient's mother directly.

CONCLUSIONS OF LAW

- 5. The Wisconsin Pharmacy Examining Board has jurisdiction to act in this matter pursuant to §450.10, Wis. Stats. and is authorized to enter into the attached Stipulation pursuant to §227.44(5), Wis. Stats.
- 6. The conduct described in paragraph 2, above, violated § Phar 7.01(1)(e), Wis. Adm. Code. Such conduct constitutes unprofessional conduct within the meaning of the Code and statutes. Each and every patient (or patient's agent) must receive a face-to-face consultation from a registered pharmacist (or supervised intern) at the time a prescribed drug or device is transferred to the patient in the pharmacy, whether the prescription is new or a refilled or renewed prescription. This duty is non-delegable and is not satisfied by having auxiliary staff ask if the patient has questions or only offering to provide a consultation.
- 7. The conduct described in paragraphs 3-4, above, violated §450.06(1), Wis. Stats., and § Phar 7 01(1)(e), Wis. Adm. Code. Such conduct constitutes unprofessional conduct with the meaning of the Code and statutes.

ORDER

NOW, THEREFORE, IT IS HEREBY ORDERED, that the attached Stipulation is accepted.

IT IS FURTHER ORDERED, that Gerald Riffkind, R.Ph., is REPRIMANDED for his unprofessional conduct in this matter.

IT IS FURTHER ORDERED, that respondent shall FORFEIT \$750, to be paid within 30 days of this Order.

IT IS FURTHER ORDERED, that respondent shall pay COSTS in this matter in the amount of \$500, within 30 days of this order.

IT IS FURTHER ORDERED, that pursuant to §227.51(3), Wis. Stats., and ch. RL 6, Wis. Adm Code, if the Board determines that there is probable cause to believe that respondent has violated any term of this Final Decision and Order, the Board may order that the license of respondent be summarily suspended pending investigation of the alleged violation.

Dated this 7th day of Mountal, 1995.

WISCONSIN PHARMACY EXAMINING BOARD

by:

a member of the board

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STATE OF WISCONSIN BEFORE THE PHARMACY EXAMINING BOARD

IN THE MATTER OF DISCIPLINARY PROCEEDINGS AGAINST

GERALD RIFFKIND, R.PH., STIPULATION 95 PHM 2 RESPONDENT.

It is hereby stipulated between the above Respondent and the undersigned prosecuting attorney for the Division of Enforcement of the Department of Regulation and Licensing, as follows:

- 1. This Stipulation is entered into as a result of a pending investigation of licensure of Respondent by the Division of Enforcement. Respondent consents to the resolution of this investigation by agreement and without a hearing on the formal complaint which has been issued in this matter.
- 2. Respondent understands that by signing this Stipulation, respondent waives the following rights with respect to disciplinary proceedings: the right to a statement of the allegations against respondent; a right to a hearing at which time the State has the burden of proving those allegations; the right to confront and cross-examine the witnesses against respondent; the right to call witnesses on respondent's behalf and to compel attendance of witnesses by subpoena; the right to testify personally; the right to file objections to any proposed decision and to present briefs or oral arguments to the officials who are to render the final decision; the right to petition for rehearing; and all other applicable rights afforded to respondent under the United States Constitution, the Wisconsin Constitution, the Wisconsin Statutes, and the Wisconsin Administrative Code.
- 3. Respondent is aware of respondent's right to seek legal representation and has obtained legal advice before signing this Stipulation.
- 4. Respondent agrees to the adoption of the attached Final Decision and Order by the Board. The parties consent to the entry of the attached Final Decision and Order without further notice, pleading, appearance or consent of the parties. Respondent waives all rights to any appeal of the Board's order, if adopted in the form as attached.
- 5. If the terms of this Stipulation are not acceptable to the Board, the parties shall not be bound by the contents of this Stipulation or the proposed Final Decision and Order, and the matter shall be returned to the Division of Enforcement for further proceedings. In the event that this Stipulation is not accepted by the Board, the parties agree not to contend that the Board has been prejudiced or biased in any manner by the consideration of this attempted resolution.
- 6. The parties agree that an attorney for the Division of Enforcement may appear before the Board, in open or closed session, without the presence of Respondent or Respondent's attorney, for the purposes of speaking in support of this agreement and answering questions that the members of the Board and its staff may have in connection with their deliberations on the case.
- 7 The Board Advisor in this matter may participate freely in any deliberations of the Board regarding acceptance of this Stipulation and the proposed Final Order, and may relate to the Board any knowledge and views of the case acquired during the investigation.

- 8. The Division of Enforcement joins Respondent in recommending that the Board adopt this Stipulation and issue the attached Final Decision and Order.
- 9. Respondent is informed that should the Board adopt this stipulation, the board's final decision and order is a public record and will be published in the Monthly Disciplinary Report issued by the department. A summary of the order will be published in the Wisconsin Regulatory Digest issued semiannually by the Board. This is standard department procedure and in no way specially directed at Respondent.

Prosecuting Attorney

Division of Enforcement

Department of Regulation & Licensing

State of Wisconsin

P.O. Box 8935, Madison, WI 53708-8935 (608)

TTY# (608) 267-2416₁ hearing or speech TRS# 1-800-947-3529 impaired only

GUIDELINES FOR PAYMENT OF COSTS AND/OR FORFEITURES

| OnNovember 7, 1995 | , thePharmacy Examining Board | |
|--|--|----------|
| took disciplinary action against your forfeiture. | license. Part of the discipline was an assessment of costs | and/or a |
| The amount of the costs assessed is: | \$500.00 Case #: 95 PHM 2 | |
| The amount of the forfeiture is: | \$750.00 Case #: 95 PHM 2 | |
| Please submit a check or a money order | er in the amount of \$ 1,250.00 | |
| The costs and/or forfeitures are due: _ | December 7 , 1995 | |
| | LICENSE NUMBER:040_12211 | |
| STREET ADDRESS: 248 N Oakrid | dge Court | |
| CITY: Wautoma | STATE: WI ZIP CODE: 54982 | <u> </u> |
| Check whether the payment is for costs | s or for a forfeiture or both: | |
| X COSTS _ | X FORFEITURE | |
| Check whether the payment is for an inc | ndividual license or an establishment license: | |
| <u> </u> | ESTABLISHMENT | |
| If a payment plan has been established, | , the amount due monthly is: For Receipting Use Only | 7 |
| Make checks payable to: DEPARTMENT OF REGUL 1400 E. WASHINGTON AVI P.O. BOX 8935 MADISON, WI 53708-8935 | E., ROOM 141 | |

#2145 (4/95) Ch. 440.22, Stats.

NOTICE OF APPEAL INFORMATION

Notice Of Rights For Rehearing Or Judicial Review, The Times Allowed For Each, And The Identification Of The Party To Be Named As Respondent.

Serve Petition for Rehearing or Judicial Review on:

STATE OF WISCONSIN PHARMACY EXAMINING BOARD

1400 East Washington Avenue P.O. Box 8935 Madison, WI 53708.

The Date of Mailing this Decision is:

November 15, 1995

1. REHEARING

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Any person aggrieved by this order may file a written petition for rehearing within 20 days after service of this order, as provided in sec. 227.49 of the Wisconsin Statutes, a copy of which is reprinted on side two of this sheet. The 20 day period commences the day of personal service or mailing of this decision. (The date of mailing this decision is shown above.)

A petition for rehearing should name as respondent and be filed with the party identified in the box above.

A petition for rehearing is not a prerequisite for appeal or review.

2. JUDICIAL REVIEW.

Any person aggrieved by this decision may petition for judicial review as specified in sec. 227.53, Wisconsin Statutes a copy of which is reprinted on side two of this sheet. By law, a petition for review must be filled in circuit court and should name as the respondent the party listed in the box above. A copy of the petition for judicial review should be served upon the party listed in the box above.

A petition must be filed within 30 days after service of this decision if there is no petition for rehearing, or within 30 days after service of the order finally disposing of a petition for rehearing, or within 30 days after the final disposition by operation of law of any petition for rehearing.

The 30-day period for serving and filing a perition commences on the day after personal service or mailing of the decision by the agency, or the day after the final disposition by operation of the law of any petition for rehearing. (The date of mailing this decision is shown above.)